



Por'd PCT/FF 02 FEB 2005

PCT/GB 2003 / 0 0 3 3 8 4

INVESTOR IN PEOPLE

The Patent Office Concept House Cardiff Road Newport South Wales

NP10-8QQ

REC'D 15 SEP 2003

WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 3 September 2003

BEST AVAILABLE COPY

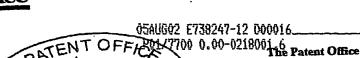
An Executive Agency of the Department of Trade and Industry

Patents Form 1/77



this form)

Patent Office



- 8 YAR 5005

LONDON

Cardiff Road Newport South Wales NP9 1RH

1. Your reference

JHB/02370/JLH

0218001.6

Request for grant of a patent

(See the notes on the back of this form, You can also get an explanatory leaflet from the Patent Office to help you fill in

_2 AUG 2002

 Full name, address and postcode of the or of each applicant (underline all surnames)

KLENZYME LIMITED University of Westminster 309 Regent Street London W19 2UW

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

x 8330771002

4. Title of the invention

Degrading Lignocellulosic Materials

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

BROOKES BATCHELLOR

102-108 CLERKENWELL ROAD LONDON EC1M 5SA

Patents ADP number (if you know it)

08142291001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number Country

Priority application number (if you know it)

Date of filing (day / month / year)

 If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application Number of earlier application

Date of filing (day / month / year)

 Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body. See note (d))

		•
 Enter the number of sheets fortany of the following items you are filing with this form. Do not count copies of the same document 	•	
Continuation sheets of this form		,
Description	8	
Claim (s)	2	
Abstract	. 0	
Drawing (s)	3 < 5	
 If you are also filing any of the following, state how many against each item. 		
Priority documents	-	
Translations of priority documents	•	. ,
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	-	-
Request for preliminary examination and search (Patents Form 9/77)	-	
Request for substantive examination (Patents Form 10/77)	-	
Any other documents (please specify)	-	
11.	I/We request the grant of a pa	Date 1 August 2002
12. Name and daytime telephone number of person to contact in the United Kingdom	John Blake	01892 510600

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getti written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

Degrading Lignocellulosic Materials

This invention is concerned with degrading lignocellulosic materials. The invention is especially suitable cleaning biological deposits, such as animal faeces, from surfaces where the deposits cause *inter alia* problems of hygiene (such as dog faeces on pavements), appearance (such as bird droppings on buildings), or safety (such as wet leaves on roads or railways). In particular, it is concerned with the production of enzyme mixtures, specifically designed to degrade the deposits.

5

20

25

30

35

Dung on cattle creates problems for hygiene on the dairy farm and more particularly at the abattoir, where there is risk of contaminating the carcase with faecal organisms, notably including *E. coli O157*. Typically this is not addressed by the respective industries, creating a residual problem that must be addressed by the global leather industry, particularly in respect of beef cattle which form the biggest source of hides for the leather industry.

Dung must be removed from the hides in the early stages of processing, as part of the cleansing operations, leading to tanning and the production of high quality leather. Removal of dung is difficult; the composite material created by hair and dry dung is resistant to solubilisation, even in the presence of surfactants. It is accepted in the industry that even the enzymes offered as soaking auxiliaries do not have any useful effect in this regard: those enzymes include proteases, lipases and amylases, but no claims are made by the supply houses for any positive effect on dung. In studies of the effects of enzymes on the solubilisation of dry dung, it was confirmed that those types of soaking enzymes are ineffective (Enzymatic removal of dung from hides. N. Auer, A. D. Covington, A. S. Evans, M. Natt, M. Tozan; J. Soc. Leather Technol. Chem., 83(4), 215, 1999.). Therefore, tanners are obliged to risk bacterial damage in prolonged soaking, or to remove the dung with hair, incurring additional chemical cost and limiting the options for disposing of the contaminated hair.

In GB 2,325,241 it is demonstrated that dung is removed efficiently and effectively from animal skins intended for leather production, or even from the skin of live animals, by targeting the main components of the dung with specifically acting enzymes. It is disclosed that the lignocellulosic material in dung, from partially degraded plant cell walls, can be solubilised with an enzyme composition containing at least one of cellulase, xylanase and ligninase, preferably a mixture of cellulase and xylanase, optionally containing ligninase if available.

2

Subsequent unpublished studies by the present inventors have showed that the lignocellulosic material is solubilised as the complex, rather than by selective damage of one or two of the constituents. This indicates that it is necessary simultaneously to degrade the lignin which surrounds the fibres, to expose the cellulose to attack, and to break the hemicellulose linkages between the cellulose chains, in order to dissolve the complex. The present inventors' solution to the problem of dung in the tannery is to apply a treatment of the three enzymes, cellulase, xylanase and ligninase, at a suitable activity ratio obtainable in a single cultivation step from white rot fungi, as set out in copending UK patent application no. 0206464.0.

10

5

The present invention is based on the appreciation that similarly tailored mixtures of enzymes can be used to remove biological deposits from surfaces other than animal skins, where such deposits result in issues of *inter alia* hygiene, appearance and safety.

15

In its broadest aspect the present invention provides a method for the degradation of lignocellulosic material by applying to the material an enzyme composition which is a mixture comprising at least a cellulase, xylanase and ligninase, and optionally other enzymes, to solubilise or decompose the material at least partially.

20

25

In a particular aspect the present invention provides a method of removing a biological deposit from a surface on which it is undesirably deposited, by applying to the deposit an enzyme composition which is a mixture comprising a cellulase, xylanase and ligninase, and optionally other enzymes, to solubilise or decompose the deposit at least partially.

Other enzymes that may be included in the mixtures used in this invention may selected from, for example, a protease, lipase, urease, uricase, and pectinase.

The enzyme mixture may be formed by blending individual enzymes as disclosed in GB 2,325,241, the contents of which are incorporated herein by reference. Also further enzymes may be added to address the specific components of the deposit.

For example, while a mixture of the three enzymes cellulase, xylanase and ligninase is effective for cattle dung, for other animal faeces additional enzymes such as uricases and ureases may be needed for effective solubilisation or decomposition. For other biological deposits, it may be desirable to add proteases or lipases.

3 More specifically, but without limitation, for removal of dog and fox faeces an enzyme mixture of protease, lipase, urease, cellulase, xylanase and ligninase is proposed; for bird droppings a mixture of uricase, cellulase, xylanase and ligninase is proposed; for leaves and compost acceleration a mixture of pectinase, cellulase, xylanase and ligninase is proposed; for chemical toilets a mixture of protease, lipase, 5 urease, cellulase, xylanase and ligninase is proposed. However, while blends of individual enzymes are suitable for small scale use, this is not practical in commercial practice, because although cellulase and xylanase are available in commercial quantities, there is at present no commercial source of 10 ligninase. Therefore, there is a need to produce the ligninase by large scale fermentation of a suitable microorganism. In this context the present inventors have sought to create the conditions which would force a microorganism to express at least the core mixture of three enzymes required for removal of deposits. 15 The present inventors have made the unexpected finding that white rot fungi can be induced to produce a mixture of the enzymes cellulase, xylanase and ligninase which contains ligninase (laccase) in a sufficient quantity and appropriate ratios to degrade lignocellulosic materials, for example as found in undesirable biological deposits. 20 The core enzyme mixture for removing biological deposits from surfaces can be prepared by cultivating a fungus selected from the class of White Rot Fungi in a liquid growth medium and harvesting the enzymes produced by the fungus from the liquid growth medium. 25 Suitable white rot fungi are found (but not exclusively) in the family Polyporaceae. Especially suitable are fungi of the species Coriolus, Pleurotus, and Ganoderma, in particular Coriolus versicolor (also known as Trametes versicolor), Pleurotus ostreatus and Ganoderma applanatum. Other suitable white rot fungi can easily be determined by routine testing for ability to produce all three enzymes, rate of growth, 30 levels of enzyme activities etc.. Some white rot fungi decompose lignin by production of a peroxidase, (which require additionally hydrogen peroxide) rather than laccase. A typical example is the species Phanerochaete, especially Phanerochaete chrysosporium. These white rot fungi are 35 within the scope of the present invention, but the resultant enzyme mixtures are less preferable for the treatment of animal skins because of the need to provide a cosubstrate (hydrogen peroxide) for the peroxidase to act on.

4 The present inventors have found that some white rot fungi that produce a mixture of cellulase, xylanase and laccase do not produce laccase in sufficient quantities for optimum treatment of biological deposits. However they have discovered that this problem can be overcome by cultivating the fungus in the presence of a suitable 5 inducer. Advantageously the inducer to promote production of enzymes is cattle dung, preferably in sterile form. In tests carried out by the present inventors on the fungi Coriolus versicolor, Pleurotus ostreatus and Ganoderma applanatum, Coriolus versicolor and Pleurotus 10 ostreatus were the fastest growing species, covering a 7 cm malt-agar Petri plate with hyphae from a central inoculum within six days, whereas Ganoderma applanatum, took twelve days. C. versicolor and P. ostreatus produced similar amounts of cellulase and xylanase in 15 the liquid media with cellulose or xylan as substrates over a ten day growth period, but differed in their production of laccase. P. ostreatus produced only low levels of laccase over ten days, with most laccase produced after growing for twenty days or more, when cellulase and xylanase activities had diminished considerably. Laccase activity was not increased significantly in the presence of a lignin mimic inducer in 20 the first ten days of culture. In contrast, laccase production by C. versicolor doubled in the presence of an inducer compound, with the highest amount of laccase produced by any organism after eight days growth. Surprisingly, it was found that the ratios of the three enzyme activities required to 25 treat dung could be controlled by the nature of the growing medium, in particular, the difficulty of producing enough ligninase (laccase) could be overcome by adding a growth medium auxiliary as an inducer. Thus, the required enzyme mixture can be produced in a single fermentation step. Unexpectedly, it was found that the inclusion of cattle dung, most suitably sterilised 30 before use, significantly broadened the peak of laccase production. This is of great value in the context of commercial production, since it greatly assists in the ability to harvest a suitably proportioned enzyme mixture. In the present invention, the fungi are cultivated in a liquid nutrient medium with a 35 nitrogen source and a carbon source, and preferably an inducer in the form of sterile dung. After a suitable period of growth, fungal growth is removed and enzymes in the culture fluid are harvested.

Suitably the fungi are added to the nutrient medium in pelletised form, to assist in subsequent removal by filtration, together with any dung residue. The filtrate containing the enzymes is preferably concentrated, for example using a membrane concentrator with a cut off at 10,000 Daltons. Then the concentrate is preferably dried. Freeze drying will provide the desired enzymes as a lyophilised powder. Spray drying or other drying may also be used., The powder may be stored or packaged for future use as a cleaning composition. The enzyme powder may be mixed with an inert bulking agent, so that technicians are able to weigh out enzyme dosages in, for example 100 gm units rather than gram units. 10 Alternatively the enzyme mixture, or bulked mixture, may be pre-packaged in unit doses. The bulking agent is suitably selected so that it will not leave a residue on the treated surface. Sodium chloride may be used. Alternatively, a lyophilised powder may be reconstituted with water, to provide the 15 user with a liquid concentrate. Whether in either powder or liquid form as supplied to the cleaning personnel, the enzyme composition is preferably applied to the deposit as an aqueous solution or dispersion, optionally formulated with thickening agents to prevent unnecessary 20 spread of the formulation, or with surfactants to assist in the cleaning process. The invention is further illustrated by the following Examples and by reference to the accompanying drawings, in which: 25 Figures 1a, 1b and 1c show production of enzymes after adding 1% (w/v) inducer after 3, 6, 9 or 12 days into cultures of C. versicolor containing 2% (w/v) carboxymethyl cellulose (CMC) as carbon source. Figures 2a, 2b and 2c shows a comparison of shaker speeds in enzyme production. Figures 3a, 3b and 3c show further results from fermentation of C. versicolor on the 2 30 litre scale. Example 1. Induced enzyme production by C. versicolor in shake flask cultures. 35 The liquid growth media in these trials were based on a mineral salts medium with ammonium nitrate as nitrogen source (see - E. Abrams; National Bureau of Standards Misc. Publications no. 188. U.S. Dept. of Commerce, Washington) and included

5

carboxymethyl cellulose (CMC) as carbon source. The inventors' proposed inducer for laccase, dried sterilised cow dung, was added at different time intervals during fungal growth. The results are shown graphically in the appended Figures 1a, 1b and 1c which show the effects on the production of enzymes of adding 1% (w/v) inducer after 3, 6, 9 or 12 days into cultures of *C. versicolor* containing 2% (w/v) CMC as carbon source.

For laccase production, addition of the inducer after three days of fungal growth gave the highest yield of laccase in cultures at day 8 of growth. For cellulase and xylanase activities, addition of the inducer after three days also yielded the highest enzymes activities, but from day 6 onwards.

Table I. The effect of inducer on the production of laccase.

Inducer offered (% w/v)	0	0.1	0.2	0.5	1.0
Laccase activity at day 8					
$(\Delta OD_{440}/ml/min)$	0.2	0.22	0.37	0.62	0.59

Example 2.

5

10

15

The role of carbon source in stimulated enzyme production from *C. versicolor* in shake flask cultures.

The effects of different carbon sources on enzyme production were investigated: using glucose, crystalline cellulose powder or CMC, each at 2% w/v in the medium. The results are shown in Table II.

Table II. The effect of carbon source and inducer on the activities of enzymes cultured from C. versicolor.

Media Enzyme activities composition					
	Cellulase (µmol glucose released per ml per h)	Xylanase (µmol glucose equivalent released per ml per h)	Laccase (ΔOD ₄₄₀ per ml per min)		
2% CMC	0.72	1.02	0.20		
2% CMC +1% inducer	1.58	1.57	0.62		
2% cellulose	0.80	1.22	0.22		
2% cellulose					

7

+1% inducer	0.95	1.21	0.32
2% CMC			
+ 0.5% glucose	1.64	0.95	0.78
+1% inducer			
2% CMC			. •
+ 1% glucose	1.53	0.89	1.8
+ 1% inducer			

From these tests, CMC is the preferred carbon source for production of all three enzymes. Addition of 0.5-1.0 % inducer at day 3 of growth stimulated enzyme production by 80-100 % from day 6 for xylanase and laccase and from day 8 for cellulase. Addition of different concentrations of CMC was investigated (0.5 to 2 %) for the effect on enzyme production: all enzyme activities increased as the concentration of CMC was increased in the medium, up to 2 % CMC.

The optimum conditions for simultaneous production of cellulase, xylanase and laccase activities in shake flasks were 2% w/v CMC in the medium as carbon source, 0.5-1.0% w/v inducer added at day 3 of growth. The cultures reached the optimum enzyme activities at 8 days growth, with approximately equal cellulase and xylanase activities. For application to treating dirty cattle hides, GB 2,325,241 indicates the preferred ratio of cellulase to xylanase as 2:1, together with good laccase activity.

If 1% glucose was added to the CMC medium, the titre for laccase activity was increased substantially, three fold, with cellulase unchanged, but xylanase titre reduced by 30%, see Table IV. This resulted in a cellulase to xylanase ratio closer to 2:1, but with substantially increased laccase activity, which meets the preferred mixture requirements more closely.

Example 3.

The effect of agitation on shake flask cultures of C. versicolor.

25

30

20

5

The agitation rate of the cultures (affecting availability of dissolved oxygen) was found to be critical in maximising enzyme production. At day 8 of growth, with the inducer added at day 3, cellulase, xylanase and laccase activities maintained higher levels when agitation was at 150 rpm, compared with 200 rpm. Optimum activities of all three enzymes occurred at day 8 under these conditions, as shown in Figures 2a, 2b and 2c comparing shaker speeds in enzyme production.

Example 4.

Simultaneous production of cellulase, xylanase and laccase by culturing C. versicolor in 2 litre bioreactors.

The medium optimised for shake flasks, using 2% CMC as carbon source and addition of inducer on day 3 of fungal growth, was used in the bioreactor, with agitation at 150 rpm. Figures 3a, 3b and 3c show enzyme activities from typical fermentation, with maximum activities occurring from day 5 to day 10 of growth for cellulase and xylanase and at day 8 for laccase. Dissolved oxygen concentration was maintained between 20 and 100% throughout the fermentation,

Example 5.

Simultaneous production of cellulase, xylanase and laccase by culturing C. versicolor in 20 litre and 75 litre bioreactors.

15

The same conditions as described for a 2 litre bioreactor, given in Example 4, were used for growing the fungus in a 20 litre bioreactor. Dissolved oxygen concentration was maintained at 40 to 100%. It was observed that maximum cellulase, xylanase and laccase production was obtained between days 6 and 12 of growth.

20

Using a 75 litre bioreactor, similar enzyme titres were obtained, providing the dissolved oxygen concentration did not fall below 30%.

Example 6.

25 Stability of the enzyme mixture

Enzymes in the culture fluid, harvested on day 8 of growth, were concentrated through a membrane concentrator with a cut off at 10,000 Daltons, then the concentrate was freeze dried.

30

35

The powder was stored at room temperature, 4°C and -20°C and the activity was assayed over a three month period. Laccase activity disappeared after 3 months at room temperature and reduced by 50% at -20°C. Cellulase and xylanase activities had not decreased after three months at -20°C or 4°C, but a slight reduction was observed in xylanase activity after storage at room temperature.

9 **CLAIMS** A method for the degradation of lignocellulosic material by applying to the material an enzyme composition which is a mixture comprising at least a cellulase, xylanase and ligninase, and optionally other enzymes, to solubilise or decompose the 5 material at least partially. A method according to claim 1 in which the enzyme composition further 2. includes a protease, lipase, urease, uricase, and/or pectinase 10 A method of removing a biological deposit from a surface on which it is 3. undesirably deposited, by applying to the deposit an enzyme composition which is a mixture comprising at least a cellulase, xylanase and ligninase to solubilise or decompose the deposit.

15

- A method according to claim 3 in which the deposit is animal faeces and the 4. enzyme composition comprises a protease, lipase, urease, cellulase, xylanase and ligninase
- A method according to claim 3 in which the deposit is bird droppings and the 20 5. enzyme composition comprises a uricase, cellulase, xylanase and ligninase
 - A method according to claim 3 in which the deposit is leaves and the enzyme 6. composition comprises a pectinase, cellulase, xylanase and ligninase

25

- A method according to any one of claims 1 to 6 in which the enzyme 7. composition is a mechanical blend of the enzymes.
- A method according to any one of claims 1 to 6 in which the enzyme 8. composition includes an enzyme mixture obtainable by cultivating a fungus selected 30 from the class of White Rot Fungi in a liquid growth medium and harvesting the enzymes produced by the fungus from the liquid growth medium.
- A method according to claim 8 in which the fungus is selected from the family 9. Polyporaceae. 35
 - A method according to claim 8 or 9, in which the enzyme mixture includes 10. cellulase, xylanase and laccase enzymes.

- 11. A method according to claim 10 in which the fungus is selected from the species *Coriolus*, *Pleurotus* and *Ganoderma*.
- 12. A method according to claim 10 in which the fungus is selected from
 5 Coriolus versicolor, Pleurotus ostreatus and Ganoderma applanatum.
 - 13. A method according to claim 8, in which the enzyme mixture includes cellulase, xylanase and lignin peroxidase enzymes.
- 10 14. A method according to claim 13 in which the fungus is selected from the species *Phanerochaete*.
 - 15. A method according to claim 13 in which the fungus is *Phanerochaete* chrysosporium.
 - 16. A method according to any one of claims 8 to 15, in which the fungus is cultivated in the presence of dung as an auxiliary growth medium.
- 17. A method according to any one of claims 8 to 16 in which, after a suitable growth period, residues are removed from the nutrient medium by filtration, and the enzyme mixture is harvested, and then dried.
 - 18. A method according to claim 17 in which the enzyme mixture is freeze-dried or spray-dried.
 - 19. A method according to any one of claims 3 to 16 in which the enzyme composition includes at least one further enzyme selected from proteases, uricases, ureases, lipases and pectinases.

25

15

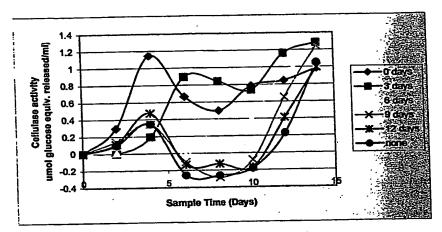


Figure 1a. Cellulase activity

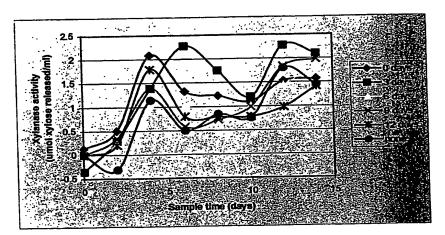


Figure 1b. Xylanase activity

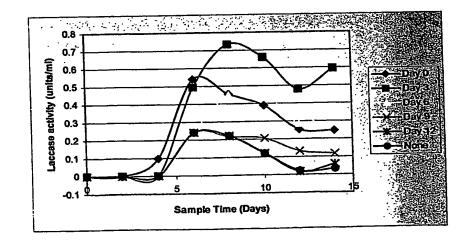


Figure 1c. Laccase activity

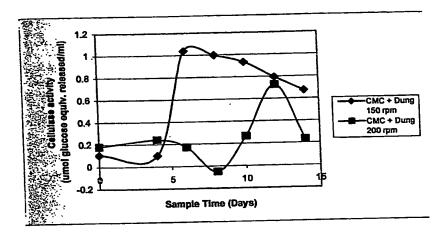


Figure 2a. Cellulase activity

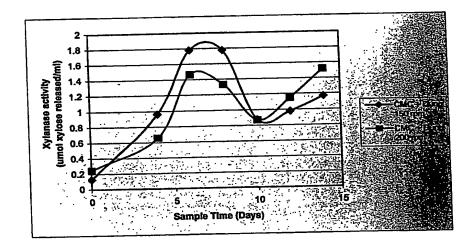


Figure 2b. Xylanase activity

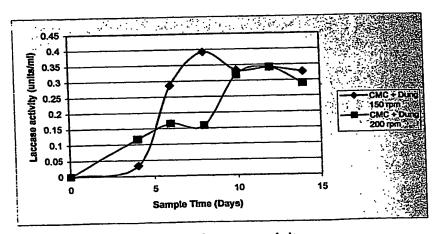


Figure 2c. Laccase activity

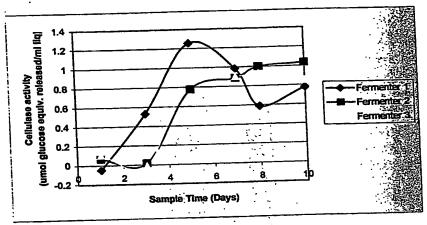


Figure 3a. Cellulase activity

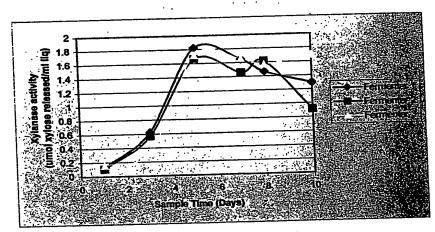


Figure 3b. Xylanase activity

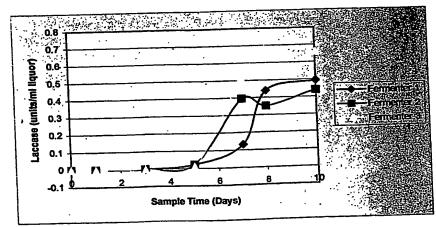


Figure 3c. Laccase activity

THE PATENT OFFICE 04 SEP 2003 Received in Patents International Unit

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

□ other:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.